## Some quibbles.

The induction principle works on the natural numbers.

Proves statements of form:  $\forall n \in \mathbb{N}, P(n)$ .

Yes.

What if the statement is only for  $n \ge 3$ ?

$$\forall n \in \mathbb{N}, (n \ge 3) \implies P(n)$$

Restate as:

$$\forall n \in \mathbb{N}, Q(n) \text{ where } Q(n) = \text{``}(n \ge 3) \implies P(n)\text{''}.$$

Base Case: typically start at 3.

Since  $\forall n \in \mathbb{N}, Q(n) \implies Q(n+1)$  is trivially true before 3.

Can you do induction over other things? Yes.

Any set where any subset of the set has a smallest element.

In some sense, the natural numbers.

### Strong Induction and Recursion.

Thm: For every natural number  $n \ge 12$ , n = 4x + 5y.

Instead of proof, let's write some code!

```
def find-x-y(n):
    if (n==12) return (3,0)
    elif (n==13): return(2,1)
    elif (n==14): return(1,2)
    elif (n==15): return(0,3)
    else:
        (x',y') = find-x-y(n-4)
        return(x'+1,y')
```

Prove: Given n, returns (x, y) where n = 4x + 5y, for  $n \ge 12$ .

Base cases: P(12), P(13), P(14), P(15). Yes.

Strong Induction step:

Recursive call is correct:  $P(n-4) \implies P(n)$ .

$$n-4=4x'+5y' \implies n=A(x'+1)+5(y')$$

Slight differences: showed for all  $n \ge 16$  that  $\bigwedge_{i=4}^{n-1} P(i) \Longrightarrow P(n)$ .

# Stable Matching Problem

- n candidates and n jobs.
- Each job has a ranked preference list of candidates.
- Each candidate has a ranked preference list of jobs.

How should they be matched?

## The best laid plans..

Consider the pairs..

- ► (Anthony) Davis and Pelicans
- ► (Lonzo) Ball and Lakers

Davis prefers the Lakers.

Lakers prefer Davis.

Uh..oh. Sad Lonzo and Pelicans.

#### So...

Produce a matching where there are no crazy moves!

**Definition:** A **matching** is disjoint set of *n* job-candidate pairs.

Example: A matching  $S = \{(Lakers, Ball); (Pelicans, Davis)\}.$ 

**Definition:** A **rogue couple**  $b, g^*$  for a pairing S: b and  $g^*$  prefer each other to their partners in S

Example: Davis and Lakers are a rogue couple in S.

# Example.

	Jo	bs		Candidates			
		2	3	1	С	Α	В
	X	X	3	2	Α	В	С
С	X	1	3	3	Α	С	В

	Day 1	Day 2	Day 3	Day 4	Day 5
1	A, <b>X</b>	Α	X,c	С	C
2	С	В, 🗶	В	A,X	Α
3					В

# The Propose and Reject Algorithm.

#### Each Day:

- 1. Each job **proposes** to its favorite candidate on its list.
- Each candidate rejects all but their favorite proposer (whom they put on a string.)
- 3. Rejected job crosses rejecting candidate off its list.

Stop when each job gets exactly one proposal. Does this terminate?

...produce a matching?

....a stable matching?

Do jobs or candidates do "better"?

### Termination.

Every non-terminated day a job **crossed** an item off the list.

Total size of lists? n jobs, n length list.  $n^2$ 

Terminates in  $\leq n^2$  steps!

## It gets better every day for candidates.

Improvement Lemma: It just gets better for candidates If on day t a candidate g has a job b on a string, any job, b', on candidate g's string for any day t' > t is at least as good as b.

Example: Candidate "Alice" has job "Amalgamated Concrete" on string on day 5.

She has job "Amalgamated Asphalt" on string on day 7.

Does Alice prefer "Almalgamated Asphalt" or "Amalgamated Concrete"?

g - 'Alice', b - 'Am. Con.', b' - 'Am. Asph.', t = 5, t' = 7.

Improvement Lemma says she prefers 'Almalgamated Asphalt'.

Day 10: Can Alice have "Amalgamated Asphalt" on her string? Yes.

Alice prefers day 10 job as much as day 7 job. Here, b = b'.

Why is lemma true?

Proof Idea: She can always keep the previous job on the string.

### Improvement Lemma

#### Improvement Lemma: It just gets better for candidates.

If on day t a candidate g has a job b on a string, any job, b', on g's string for any day t' > t is at least as good as b.

#### **Proof:**

P(k)- - "job on g's string is at least as good as b on day t + k"

P(0) – true. Candidate has b on string.

Assume P(k). Let b' be job on string on day t + k.

On day t + k + 1, job b' comes back.

Candidate g can choose b', or do better with another job, b''

That is,  $b' \ge b$  by induction hypothesis.

And b'' is better than b' by algorithm.

 $\implies$  Candidate does at least as well as with b.

$$P(k) \implies P(k+1)$$
.

And by principle of induction, lemma holds for every day after t.

#### Poll

Question: It just gets better for candidates, because?

- (A) Induction on days.
- (B) When the economy is good.
- (C) The candidate can always keep the job on the string.

## Matching when done.

**Lemma:** Every job is matched at end.

#### **Proof:**

If not, a job *b* must have been rejected *n* times.

Every candidate has been proposed to by *b*, and Improvement lemma

⇒ each candidate has a job on a string.

and each job is on at most one string.

*n* candidates and *n* jobs. Same number of each.

⇒ *b* must be on some candidate's string! Contradiction.

Question: The argument for termination uses.

- (A) Implies: no unmatched job at end.
- (B) Improvement Lemma: every candidate matched.
- (C) Algorithm: unmatched job would ask everyone.
- (D) Implies: every one gets their favorite job.

### Matching is Stable.

**Lemma:** There is no rogue couple for the matching formed by traditional marriage algorithm.

#### Proof:

Assume there is a rogue couple;  $(b, g^*)$ 

$$b^* - g^*$$
  $b$  prefers  $g^*$  to  $g$ .
 $b - g^*$   $g^*$  prefers  $b$  to  $b^*$ .

Job b proposes to  $g^*$  before proposing to g.

So  $g^*$  rejected b (since he moved on)

By improvement lemma,  $g^*$  prefers  $b^*$  to b.

Contradiction!

## Good for jobs? candidates?

Is the Job-Proposes better for jobs? for candidates?

**Definition:** A **matching is** x**-optimal** if x's partner is its best partner in any stable pairing.

**Definition:** A **matching is** x**-pessimal** if x's partner is its worst partner in any stable pairing.

**Definition:** A **matching is job optimal** if it is *x*-optimal for **all** jobs *x*.

..and so on for job pessimal, candidate optimal, candidate pessimal.

Claim: The optimal partner for a job must be first in its preference list.

True? False? False!

Subtlety here: Best partner in any stable matching.
As well as you can be in a globally stable solution!

Question: Is there a job or candidate optimal matching?

Is it possible:

b-optimal pairing different from the b'-optimal matching!

Yes? No?

Question: The SMA produces a stable pairing is a proof by?

- (A) Contradiction.
- (B) Uses the improment lemma.
- (C) Induction.
- (D) Direct.

# Understanding Optimality: by example.

A: 1,2 1: A,B B: 1,2 2: B,A

Consider pairing: (A, 1), (B, 2).

Stable? Yes.

Optimal for *B*?

Notice: only one stable pairing.

So this is the best *B* can do in a stable pairing.

So optimal for *B*.

Also optimal for A, 1 and 2. Also pessimal for A,B,1 and 2.

A: 1,2 1: B,A B: 2,1 2: A,B

Pairing S: (A,1), (B,2). Stable? Yes.

Pairing T: (A,2), (B,1). Also Stable.

Which is optimal for A? S Which is optimal for B? S Which is optimal for C? T

## Job Propose and Candidate Reject is optimal!

For jobs? For candidates?

**Theorem:** Job Propose and Reject produces a job-optimal pairing.

#### **Proof:**

Assume not: there is a job b does not get optimal candidate, g.

There is a stable pairing S where b and g are paired.

Let *t* be first day job *b* gets rejected by its optimal candidate *g* who it is paired with in stable pairing *S*.

 $b^*$  - knocks b off of g's string on day  $t \implies g$  prefers  $b^*$  to b

By choice of t,  $b^*$  likes g at least as much as optimal candidate.

 $\implies b^*$  prefers g to its partner  $g^*$  in S.

Rogue couple for S.

So *S* is not a stable pairing. Contradiction.

Notes: S - stable.  $(b^*, g^*) \in S$ . But  $(b^*, g)$  is rogue couple!

Used Well-Ordering principle...Induction.

#### How about for candidates?

**Theorem:** Job Propose and Reject produces candidate-pessimal pairing.

T – pairing produced by JPR.

S – worse stable pairing for candidate g.

In T, (g,b) is pair.

In S,  $(g,b^*)$  is pair.

g prefers b to  $b^*$ .

T is job optimal, so b prefers g to its partner in S.

(g,b) is Rogue couple for S

S is not stable.

#### Contradiction.

Notes: Not really induction.

Structural statement: Job optimality  $\implies$  Candidate pessimality.

#### Quick Questions.

How does one make it better for candidates?

Propose and Reject - stable matching algorithm. One side proposes.

Jobs Propose  $\implies$  job optimal.

Candidates propose.  $\implies$  optimal for candidates.

## Residency Matching..

The method was used to match residents to hospitals.

Hospital optimal....

..until 1990's...Resident optimal.

Another variation: couples.

### Takeaways.

Analysis of cool algorithm with interesting goal: stability.

"Economic": different utilities.

Definition of optimality: best utility in stable world.

Action gives better results for individuals but gives instability.

Induction over steps of algorithm.

Proofs carefully use definition:

Optimality proof:

contradiction of the existence of a better pairing.