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Sample with replacement and order doesn't matter: $\binom{k+n-1}{n-1}$.

Bijection: sums to 'k' \rightarrow stars and bars.

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$$|S| = |T| = \binom{7}{2}.$$

Stars and Bars Poll

Mark whats correct.

(A) ways to split n dollars among k : $\binom{n+k-1}{k-1}$

(B) ways to split k dollars among n : $\binom{k+n-1}{n-1}$

(C) ways to split 5 dollars among 3: $\binom{7}{5}$

(D) ways to split 5 dollars among 3: $\binom{5+3-1}{3-1}$

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All correct.

Balls in bins.

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Example: Poker hands.

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Dividing 5 dollars among Alice, Bob and Eve.

Poll

Mark whats correct.

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(A) dis $\Rightarrow n^k$

(B) dis, unique $\Rightarrow n!/(n-k)!$

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Two indistinguishable jokers in 54 card deck.
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0
1 1

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```
  0
 1 1
1 2 1
```

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0
1 1
1 2 1
1 3 3 1

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0
1 1
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Row n : coefficients of $(1+x)^n = (1+x)(1+x)\cdots(1+x)$.

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Foil (4 terms) on steroids:

2^n terms: choose 1 or x from each term $(1+x)$.

Simplify: collect all terms corresponding to x^k .

Coefficient of x^k $\binom{n}{k}$: choose k terms with x in product.

$$\begin{array}{ccccc} & & & & \binom{0}{0} & & & \\ & & & & \binom{1}{0} & & \binom{1}{1} & \\ & & \binom{2}{0} & & \binom{2}{1} & & \binom{2}{2} & \end{array}$$

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Pascal's rule $\implies \binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$.

Combinatorial Proofs.

Theorem: $\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$.

Proof: How many size k subsets of $n+1$?

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Sum Rule: For disjoint sets S and T , $|S \cup T| = |S| + |T|$

Used to reason about all subsets

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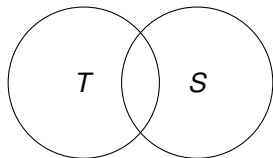
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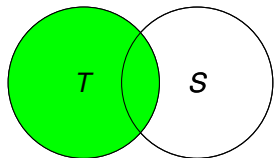
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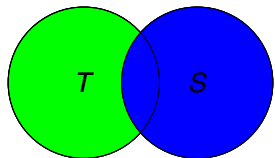
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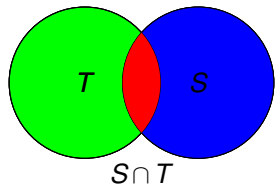
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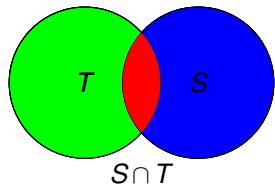
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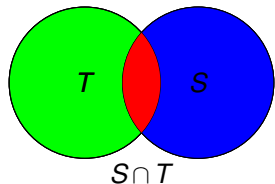
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Answer: $|S| + |T| - |S \cap T| = 10^9 + 10^9 - 10^8$.

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$$|A_1 \cup \dots \cup A_n| = \sum_i |A_i| - \sum_{i,j} |A_i \cap A_j| + \sum_{i,j,k} |A_i \cap A_j \cap A_k| \cdots (-1)^n |A_1 \cap \dots \cap A_n|.$$

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$$|A_1 \cup \dots \cup A_n| = \sum_i |A_i| - \sum_{i,j} |A_i \cap A_j| + \sum_{i,j,k} |A_i \cap A_j \cap A_k| \cdots (-1)^n |A_1 \cap \dots \cap A_n|.$$

Idea: For $n = 3$ how many times is an element counted?

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Total: $3 - 3 + 1 = 1$.

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Formulaically: x is in intersection of three sets.

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Total: $3 - 3 + 1 = 1$.

Formulaically: x is in intersection of three sets.

3 for terms of form $|A_i|$, $\binom{3}{2}$ for terms of form $|A_i \cap A_j|$.

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$$|A_1 \cup \dots \cup A_n| = \sum_i |A_i| - \sum_{i,j} |A_i \cap A_j| + \sum_{i,j,k} |A_i \cap A_j \cap A_k| \dots (-1)^n |A_1 \cap \dots \cap A_n|.$$

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x counted once in each term: $|A_1|, |A_2|, |A_3|$.

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Inclusion/Exclusion

$$|A_1 \cup \dots \cup A_n| = \sum_i |A_i| - \sum_{i,j} |A_i \cap A_j| + \sum_{i,j,k} |A_i \cap A_j \cap A_k| \dots (-1)^n |A_1 \cap \dots \cap A_n|.$$

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Total: $2 - 1 = 1$.

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$\binom{3}{3}$ for terms of form $|A_i \cap A_j \cap A_k|$.

Total: $\binom{3}{1} - \binom{3}{2} + \binom{3}{3} = 1$.

Inclusion/Exclusion

$$|A_1 \cup \dots \cup A_n| = \sum_i |A_i| - \sum_{i,j} |A_i \cap A_j| + \sum_{i,j,k} |A_i \cap A_j \cap A_k| \cdots (-1)^n |A_1 \cap \dots \cap A_n|.$$

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Idea: how many times is each element counted?

Element x in m sets: $x \in A_{i_1} \cap A_{i_2} \cdots \cap A_{i_m}$.

Inclusion/Exclusion

$$|A_1 \cup \dots \cup A_n| = \sum_i |A_i| - \sum_{i,j} |A_i \cap A_j| + \sum_{i,j,k} |A_i \cap A_j \cap A_k| \cdots (-1)^n |A_1 \cap \dots \cap A_n|.$$

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Counted $\binom{m}{i}$ times in i th summation.

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Binomial Theorem:

$$(x + y)^m = \binom{m}{0} x^m + \binom{m}{1} x^{m-1} y + \binom{m}{2} x^{m-2} y^2 + \cdots \binom{m}{m} y^m.$$

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Proof: m factors in product: $(x + y)(x + y) \cdots (x + y)$.

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Get a term $x^{m-i} y^i$ by choosing i factors to use for y .

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For $x = 1, y = -1$,

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$$0 = (1-1)^m = \binom{m}{0} - \binom{m}{1} + \binom{m}{2} \cdots + (-1)^m \binom{m}{m}$$

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$$|A_1 \cup \dots \cup A_n| = \sum_i |A_i| - \sum_{i,j} |A_i \cap A_j| + \sum_{i,j,k} |A_i \cap A_j \cap A_k| \cdots (-1)^n |A_1 \cap \dots \cap A_n|.$$

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Each element counted once!

Summary.

First Rule of counting:

Summary.

First Rule of counting: Objects from a sequence of choices:

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First Rule of counting: Objects from a sequence of choices:
 n_i possibilities for i th choice :

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First Rule of counting: Objects from a sequence of choices:
 n_i possibilities for i th choice : $n_1 \times n_2 \times \cdots \times n_k$ objects.

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First Rule of counting: Objects from a sequence of choices:

n_i possibilities for i th choice : $n_1 \times n_2 \times \cdots \times n_k$ objects.

Second Rule of counting: If order does not matter.

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Count with order:

Summary.

First Rule of counting: Objects from a sequence of choices:

n_i possibilities for i th choice : $n_1 \times n_2 \times \cdots \times n_k$ objects.

Second Rule of counting: If order does not matter.

Count with order: Divide number of orderings.

Summary.

First Rule of counting: Objects from a sequence of choices:

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Disjoint

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Disjoint – so add!

Poll: How big is infinity?

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Mark what's true.

- (A) There are more real numbers than natural numbers.
- (B) There are more rational numbers than natural numbers.
- (C) There are more integers than natural numbers.
- (D) pairs of natural numbers \gg natural numbers.

Same Size. Poll.

Two sets are the same size?

Same Size. Poll.

Two sets are the same size?

(A) Bijection between the sets.

(B) Count the objects and get the same number. same size.

(C) Counting to infinity is hard.

Same Size. Poll.

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(A), (B).

Same Size. Poll.

Two sets are the same size?

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(A), (B).

(C)?

Next up: how big is infinity.

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- ▶ Countable
- ▶ Countably infinite.
- ▶ Enumeration

How big are the reals or the integers?

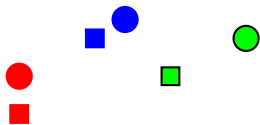
Infinite!

How big are the reals or the integers?

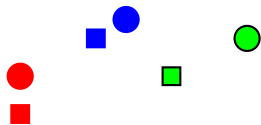
Infinite!

Is one bigger or smaller?

Same size?

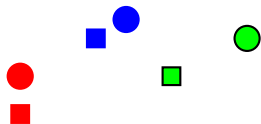


Same size?



Same number?

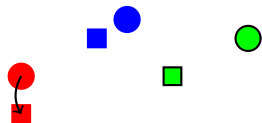
Same size?



Same number?

Make a function $f : \text{Circles} \rightarrow \text{Squares}$.

Same size?

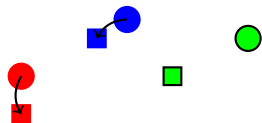


Same number?

Make a function $f : \text{Circles} \rightarrow \text{Squares}$.

$f(\text{red circle}) = \text{red square}$

Same size?



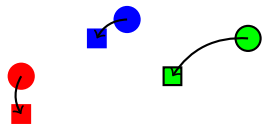
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Same size?



Same number?

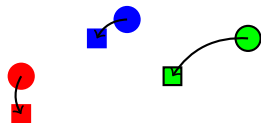
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$f(\text{red circle}) = \text{red square}$

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Same size?



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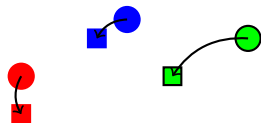
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One to one.

Same size?



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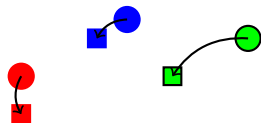
$f(\text{red circle}) = \text{red square}$

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One to one. Each circle mapped to different square.

Same size?



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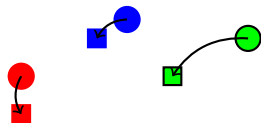
$f(\text{blue circle}) = \text{blue square}$

$f(\text{circle with black border}) = \text{square with black border}$

One to one. Each circle mapped to different square.

One to One: For all $x, y \in D$, $x \neq y \implies f(x) \neq f(y)$.

Same size?



Same number?

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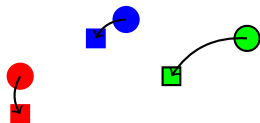
$f(\text{circle with black border}) = \text{square with black border}$

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Onto.

Same size?



Same number?

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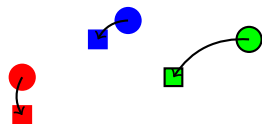
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One to one. Each circle mapped to different square.

One to One: For all $x, y \in D, x \neq y \implies f(x) \neq f(y)$.

Onto. Each square mapped to from some circle .

Same size?



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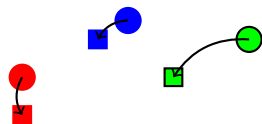
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Onto: For all $s \in R, \exists c \in D, s = f(c)$.

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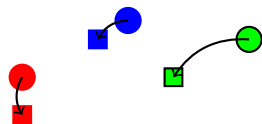
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Isomorphism principle: If there is $f : D \rightarrow R$ that is one to one and onto, then, $|D| = |R|$.

Isomorphism principle.

Given a function, $f : D \rightarrow R$.

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If there is a bijection $f : D \rightarrow R$ then $|D| = |R|$.

Countable.

How to count?

Countable.

How to count?

0,

Countable.

How to count?

0, 1,

Countable.

How to count?

0, 1, 2,

Countable.

How to count?

0, 1, 2, 3,

Countable.

How to count?

0, 1, 2, 3, ...

Countable.

How to count?

0, 1, 2, 3, ...

The Counting numbers.

Countable.

How to count?

0, 1, 2, 3, ...

The Counting numbers.

The natural numbers! N

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How to count?

0, 1, 2, 3, ...

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Definition: S is **countable** if there is a bijection between S and some subset of N .

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How to count?

0, 1, 2, 3, ...

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Definition: S is **countable** if there is a bijection between S and some subset of N .

If the subset of N is finite, S has finite **cardinality**.

Countable.

How to count?

0, 1, 2, 3, ...

The Counting numbers.

The natural numbers! N

Definition: S is **countable** if there is a bijection between S and some subset of N .

If the subset of N is finite, S has finite **cardinality**.

If the subset of N is infinite, S is **countably infinite**.

Where's 0?

Which is bigger?

Where's 0?

Which is bigger?

The positive integers, \mathbb{Z}^+ , or the natural numbers, \mathbb{N} .

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Natural numbers. 0,

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Natural numbers. 0, 1,

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Where's 0?

More natural numbers!

Where's 0?

Which is bigger?

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Where's 0?

More natural numbers!

Consider $f(z) = z - 1$.

Where's 0?

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For any two $z_1 \neq z_2$

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Consider $f(z) = z - 1$.

For any two $z_1 \neq z_2 \implies z_1 - 1 \neq z_2 - 1$

Where's 0?

Which is bigger?

The positive integers, \mathbb{Z}^+ , or the natural numbers, \mathbb{N} .

Natural numbers. $0, 1, 2, 3, \dots$

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More natural numbers!

Consider $f(z) = z - 1$.

For any two $z_1 \neq z_2 \implies z_1 - 1 \neq z_2 - 1 \implies f(z_1) \neq f(z_2)$.

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But.. but Where's zero? "Comes from 1."

A bijection is a bijection.

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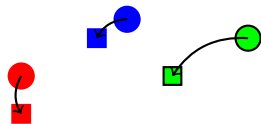
Bijection from A to $B \implies$ a bijection from B to A .

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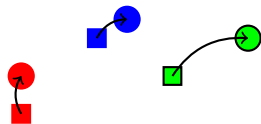


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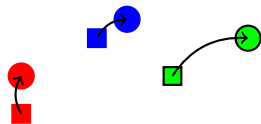
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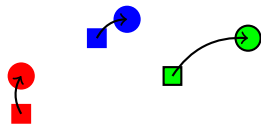
Can prove equivalence either way.

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Inverse function!

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Bijection to or from natural numbers implies countably infinite.

More large sets.

E - Even natural numbers?

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Evens are same size as all natural numbers.

All integers?

What about Integers, Z ?

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Integers and naturals have same size!

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3	-2

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If infinite: bijection with N .

Enumerability \equiv countability.

Enumerating (listing) a set implies that it is countable.

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Enumerating (listing) a set implies that it is countable.

“Output element of S ”,

“Output next element of S ”

...

Any element x of S has *specific, finite* position in list.

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Need to be careful.

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61A \equiv streams!

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61A \equiv streams! Not Sp20/Fa20.

Countably infinite subsets.

Enumerating a set implies countable.

Corollary: Any subset T of a countable set S is countable.

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Enumerate T as follows:

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output only if $x \in T$.

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All countably infinite sets have the same cardinality.

Enumeration example.

All binary strings.

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$$B = \{0, 1\}^*.$$

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$$B = \{\phi, 0, 1, 00,$$

Enumeration example.

All binary strings.

$$B = \{0, 1\}^*.$$

$$B = \{\phi, 0, 1, 00, 01, 10, 11,$$

Enumeration example.

All binary strings.

$$B = \{0, 1\}^*.$$

$$B = \{\emptyset, 0, 1, 00, 01, 10, 11, 000, 001, 010, 011, \dots\}.$$

Enumeration example.

All binary strings.

$$B = \{0, 1\}^*.$$

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ϕ is empty string.

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For any string, it appears at some position in the list.

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Never get to 1.

More fractions?

Enumerate the rational numbers in order...

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0, ..., $1/2$, ..

More fractions?

Enumerate the rational numbers in order...

0, ..., $1/2$, ..

Where is $1/2$ in list?

More fractions?

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$0, \dots, 1/2, \dots$

Where is $1/2$ in list?

After $1/3$, which is after $1/4$, which is after $1/5$...

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Can't even get to "next" fraction!

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Can't list in "order".

Pairs of natural numbers.

Consider pairs of natural numbers: $N \times N$

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E.g.: (1,2), (100,30), etc.

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For finite sets S_1 and S_2 ,

then $S_1 \times S_2$

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So, $N \times N$ is countably infinite squared ???

Pairs of natural numbers.

Enumerate in list:

Pairs of natural numbers.

Enumerate in list:

$(0, 0)$,

Pairs of natural numbers.

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Pairs of natural numbers.

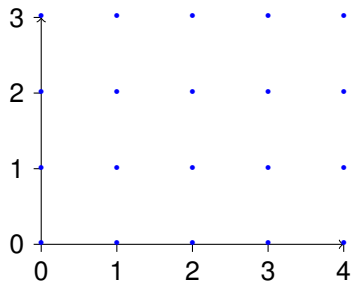
Enumerate in list:

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Pairs of natural numbers.

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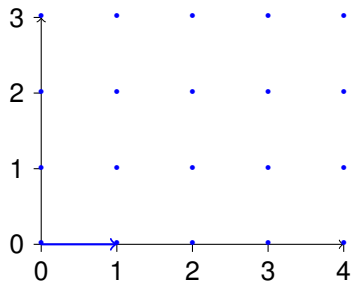
$(0, 0), (1, 0), (0, 1), (2, 0), (1, 1), (0, 2), \dots$



Pairs of natural numbers.

Enumerate in list:

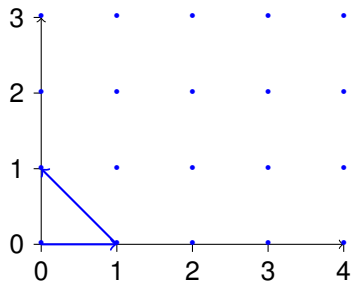
$(0, 0), (1, 0), (0, 1), (2, 0), (1, 1), (0, 2), \dots$



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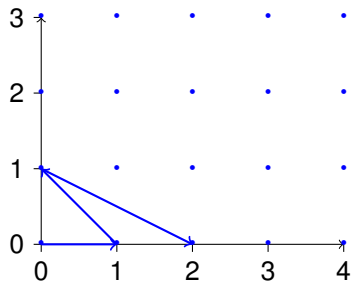
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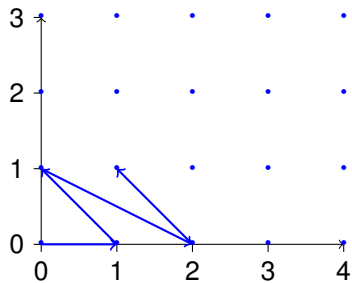
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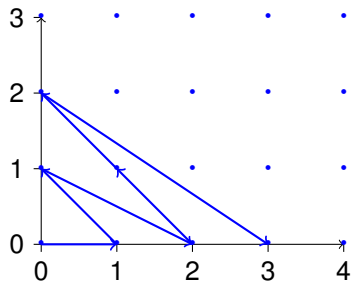
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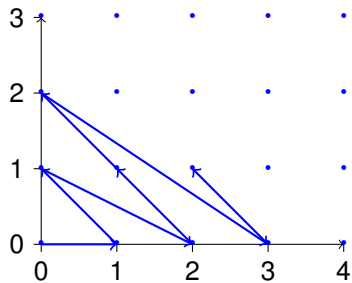
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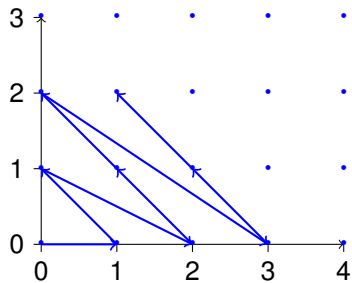
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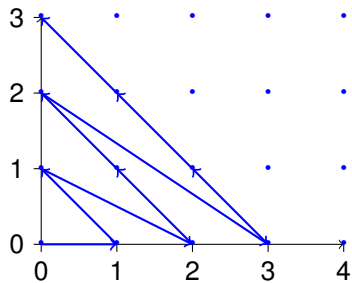
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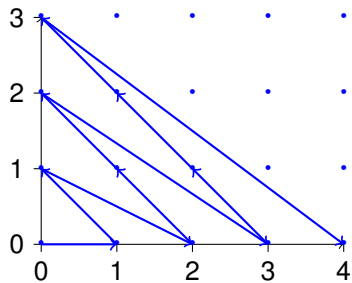
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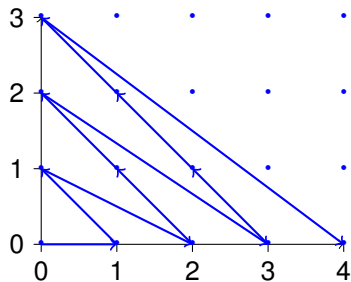
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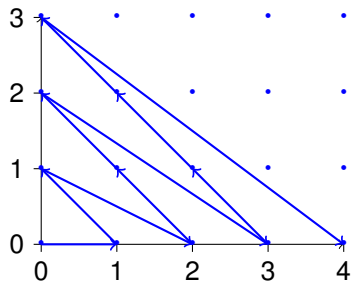


The pair (a, b) , is in first $\approx (a+b+1)(a+b)/2$ elements of list!

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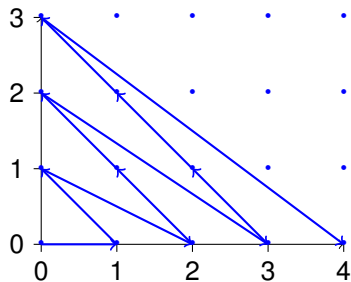


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(i.e., “triangle”).

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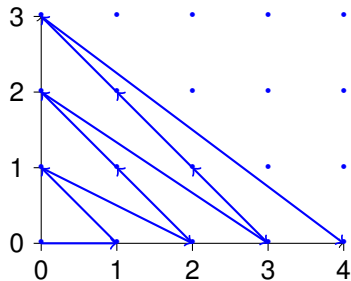
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Countably infinite.

Pairs of natural numbers.

Enumerate in list:

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The pair (a, b) , is in first $\approx (a + b + 1)(a + b) / 2$ elements of list!
(i.e., “triangle”).

Countably infinite.

Same size as the natural numbers!!

Poll.

Enumeration to get bijection with naturals?

Enumeration to get bijection with naturals?

- (A) Integers: First all negatives, then positives.
- (B) Integers: By absolute value, break ties however.
- (C) Pairs of naturals: by sum of values, break ties however.
- (D) Pairs of naturals: by value of first element.
- (E) Pairs of integers: by sum of values, break ties.
- (F) Pairs of integers: by sum of absolute values, break ties.

Enumeration to get bijection with naturals?

- (A) Integers: First all negatives, then positives.
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 - (C) Pairs of naturals: by sum of values, break ties however.
 - (D) Pairs of naturals: by value of first element.
 - (E) Pairs of integers: by sum of values, break ties.
 - (F) Pairs of integers: by sum of absolute values, break ties.
- (B),(C), (F).

Rationals?

Positive rational number.

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Positive rational number.

Lowest terms: a/b

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Lowest terms: a/b

$a, b \in \mathbb{N}$

Rationals?

Positive rational number.

Lowest terms: a/b

$a, b \in \mathbb{N}$

with $\gcd(a, b) = 1$.

Rationals?

Positive rational number.

Lowest terms: a/b

$a, b \in \mathbb{N}$

with $\gcd(a, b) = 1$.

Infinite subset of $\mathbb{N} \times \mathbb{N}$.

Rationals?

Positive rational number.

Lowest terms: a/b

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Countably infinite!

All rational numbers?

Rationals?

Positive rational number.

Lowest terms: a/b

$a, b \in \mathbb{N}$

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Infinite subset of $\mathbb{N} \times \mathbb{N}$.

Countably infinite!

All rational numbers?

Negative rationals are countable.

Rationals?

Positive rational number.

Lowest terms: a/b

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Negative rationals are countable. (Same size as positive rationals.)

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Put all rational numbers in a list.

First negative, then nonnegative

Rationals?

Positive rational number.

Lowest terms: a/b

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Put all rational numbers in a list.

First negative, then nonnegative ??? No!

Repeatedly and alternatively take one from each list.

Rationals?

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Interleave Streams in 61A

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Infinite subset of $\mathbb{N} \times \mathbb{N}$.

Countably infinite!

All rational numbers?

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First negative, then nonnegative ??? No!

Repeatedly and alternatively take one from each list.

Interleave Streams in 61A

The rationals are countably infinite.

Real numbers..

Real numbers are same size as integers?

The reals.

Are the set of reals countable?

The reals.

Are the set of reals countable?

Lets consider the reals $[0, 1]$.

The reals.

Are the set of reals countable?

Lets consider the reals $[0, 1]$.

Each real has a decimal representation.

The reals.

Are the set of reals countable?

Lets consider the reals $[0, 1]$.

Each real has a decimal representation.

.500000000...

The reals.

Are the set of reals countable?

Lets consider the reals $[0, 1]$.

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.500000000... ($1/2$)

The reals.

Are the set of reals countable?

Lets consider the reals $[0, 1]$.

Each real has a decimal representation.

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.785398162...

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Construct “diagonal” number:

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⋮

Construct “diagonal” number: .7

Diagonalization.

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0: .500000000...

1: .785398162...

2: .367879441...

3: .632120558...

4: .345212312...

⋮

Construct “diagonal” number: .77

Diagonalization.

If countable, there a listing, L contains all reals. For example

0: .500000000...

1: .785398162...

2: .367879441...

3: .632120558...

4: .345212312...

⋮

Construct “diagonal” number: .776

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⋮

Construct “diagonal” number: .7767

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Subset $[0, 1]$ is not countable!!

Poll: diagonalization Proof.

Mark parts of proof.

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- (A) Integers are larger than naturals cuz obviously.
- (B) Integers are countable cuz, interleaving bijection.
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- (B), (C)?, (D)